

583 **A Compound Compression for Edge Deployment**

584 Deploying large language models in edge environments requires running inference on low-power
 585 devices such as CPUs. Therefore, we follow the compound compression approach from [23] which
 586 bundles together structured, unstructured pruning, and quantization for efficient inference on CPUs.
 587 We start with ZipLM structurally pruned models, and apply on top the state-of-the-art oBERT
 588 unstructured pruning method [23] to 80% sparsity. After structured and unstructured pruning, we
 589 apply quantization-aware-training (QAT) [19] to quantize FP32 weights into INT8 representations.
 590 We benchmark these compound compressed models by running inference in the DeepSparse [36]
 591 engine, on a *single-core* of Intel Cascade Lake CPU. In this setting, we compare our results against the
 592 compound compression pipeline of [23] which applies layer dropping as a form of structured pruning.
 593 As can be seen from Figure 5, when we substitute layer dropping with a principled structured pruning
 594 via ZipLM, the resulting compound compressed models achieve very competitive latency-vs-accuracy
 595 performance in the edge-inference regime. At full accuracy recovery, ZipLM improves the speedup
 596 from 3x to 13x, while at the largest compression ratio ZipLM improves the speedup from 30x to 50x.

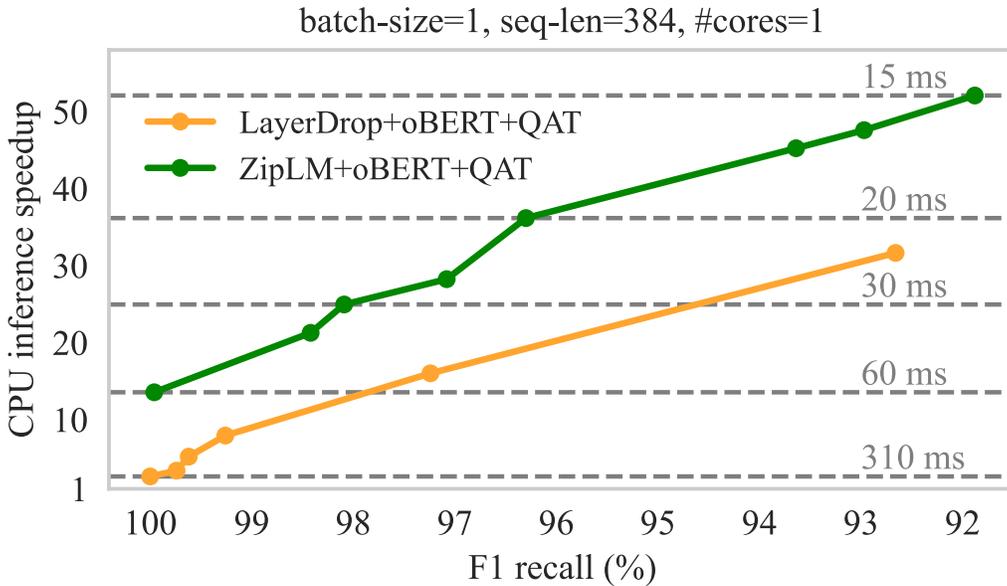


Figure 5: Improvements in CPU-inference speedups for compound compressed BERT_{base} models on the SQuADv1.1 task when ZipLM is used for structured pruning. End-to-end latency indicated by the dashed line.

597 **B Ablation Studies**

598 In Table 3, we present ablation results for ZipLM and CoFi, with and without their respective layer-
 599 wise distillation techniques. ZipLM outperforms CoFi in all tasks when both methods use distillation,
 600 and in three out of four when distillation is not used. For example, ZipLM outperforms CoFi with
 601 a significant 3 point increase in F1 score on the SQuAD task in both setups. Furthermore, when
 602 comparing ZipLM results with and without layer-wise distillation, it can be observed that benefits are
 603 pronounced for low data tasks, where accuracy improvements reach up to 2 points.

604 **C Additional Validation**

605 Evaluating and comparing compressed models on the development set (dev-set) is standard practice,
 606 as it enables comparisons with off-the-shelf results from the literature. However, an implicit
 607 assumption behind such comparisons is that all methods tune their hyper-parameters only on a subset
 608 of the dev-set before evaluating and reporting results on all samples, which is not always the case.

Table 3: Comparison of ZipLM and CoFi dev-set results, with and without layer-wise distillation.

| | SST-2 acc. | QNLI acc. | MNLI m-acc. | SQuAD F1 |
|----------------------------------------------------------|---------------|--------------|----------------|-------------|
| CoFi | 90.4 | 86.1 | 80.6 | 82.6 |
| ZipBERT _{base} | 91.7 | 88.6 | 81.7 | 85.7 |
| CoFi w/o $\mathcal{L}_{\text{layer}}$ | 91.1 | 85.1 | 79.7 | 82.5 |
| ZipBERT _{base} w/o $\mathcal{L}_{\text{token}}$ | 89.2 | 86.5 | 81.2 | 85.7 |

Table 4: Dev- and test-set comparison of ZipBERT_{base} and CoFi models with comparable speedups.

| | dev-set | | test-set | |
|---------------|---------|-------------------------|----------|-------------------------|
| | CoFi | ZipBERT _{base} | CoFi | ZipBERT _{base} |
| QNLI, acc. | 86.1 | 88.6 | 85.8 | 88.4 |
| SST-2, acc. | 90.4 | 91.7 | 88.2 | 91.8 |
| MNLI, m-acc. | 80.6 | 81.7 | 80.7 | 81.9 |
| MNLI, mm-acc. | 80.7 | 82.0 | 79.9 | 80.6 |
| SQuAD, F1 | 82.6 | 85.7 | N/A | N/A |

609 Moreover, specifically-tuned hyper-parameters can lead to large performance differences, especially
 610 when compressing LLMs [22]. To ensure that there is no such “overfitting” on the dev-set, in Table 4
 611 we compare ZipLM against the prior state-of-the-art CoFi approach on *unseen test-set*, obtained
 612 by submitting predictions to the official GLUE evaluation server. The results show consistent
 613 improvements over CoFi, on both dev- and test-sets.

614 D Speedup Evaluations

615 As shown in Figure 1, ZipLM is based on measuring runtimes of higher-level modules, such as
 616 attention heads and fully connected matrices, rather than low-level operators. This makes our
 617 approach independent of underlying optimizations in different inference engines and frameworks,
 618 which usually perform further optimizations such as operator-fusion. Our runtime lookup table
 619 contains information about the runtime of a Transformer layer with different numbers of attention
 620 heads, and various dimensions of the fully connected matrices. This implies that we measure runtimes
 621 of a layer with 12 heads, 11 heads, 10 heads, and so on, as well as the runtimes of fully connected
 622 matrices with hidden sizes ranging from 3072 to 0. We utilize this information to guide pruning
 623 decisions.

624 To fully validate the ability of ZipLM to compress the model while satisfying desired speedup
 625 constraints via the described approach, we provide the timing results in Table 5, comparing the
 626 desired (target) speedup and the achieved (measured) speedup for different models.

627 As can be seen from the Table 5, the deviation between the desired (target) and the achieved
 628 (measured) speedup is at most 5.28%. This confirms that our approach indeed provides reliable
 629 runtime information to guide the pruning decisions.

Table 5: Comparison of target (desired) inference speedups with achieved (on-device measured) speedups obtained with our ZipLM pruning approach.

| BERT _{base} on SQuADv1.1 | | | BERT _{large} on SQuADv1.1 | | |
|-----------------------------------|------------------|-----------|------------------------------------|------------------|-----------|
| Target speedup | Achieved speedup | Deviation | Target speedup | Achieved speedup | Deviation |
| 2 | 1.98 | -1.00% | 2 | 2.01 | +0.50% |
| 4 | 4.05 | +1.25% | 4 | 4.05 | +1.25% |
| 6 | 6.16 | +2.67% | 6 | 6.09 | +1.50% |
| 8 | 8.25 | +3.12% | 8 | 8.27 | +3.37% |
| 10 | 10.36 | +3.60% | 10 | 10.33 | +3.30% |
| 12 | 12.31 | +2.58% | 12 | 12.46 | +3.83% |
| 14 | 14.33 | +2.35% | 14 | 14.74 | +5.28% |

630 **E Structure of Pruned Models**

631 Through a comprehensive examination of ZipLM pruned BERT models across all datasets considered
 632 in Section 4, we aim to identify trends in the pruning of key components of the Transformer layer,
 633 namely attention heads and intermediate size, needed to achieve a specific speedup target. As
 634 illustrated in Figure 6, we observe that the intermediate size is pruned at a higher rate relative to
 635 attention heads, which aligns with the fact that the intermediate size dictates the dimensions of the
 636 two large linear layers in the feed-forward part of the Transformer block. For instance, to attain a 2x
 637 speedup, roughly 60% of the intermediate size and 40% of the attention heads need to be removed.
 638 Additionally, in Figure 7, we visualize the entire encoder size needed to reach a specific speedup
 639 target. Interestingly, we find that 15x faster models retain on average only 2% of intermediate size
 640 and 6% of attention heads which amounts to only 2.9M parameters overall, while at the same time
 641 recovering more than 95% of the uncompressed model’s accuracy (see Figure 3).

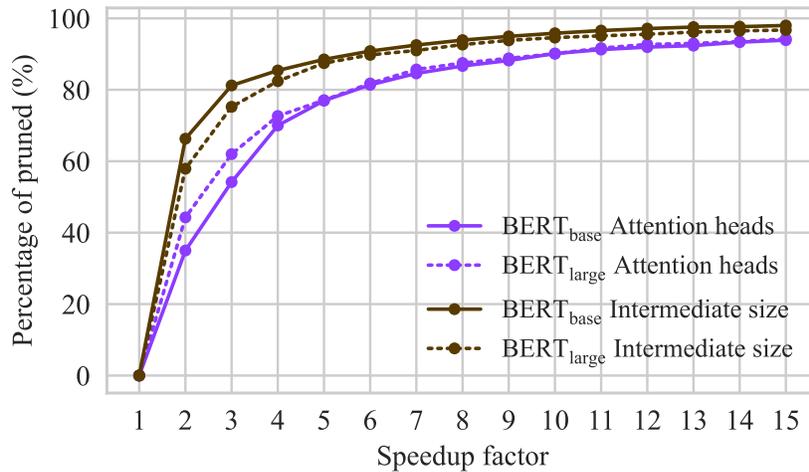


Figure 6: Percentage of pruned attention heads and intermediate size to reach a specific speedup target with ZipLM.

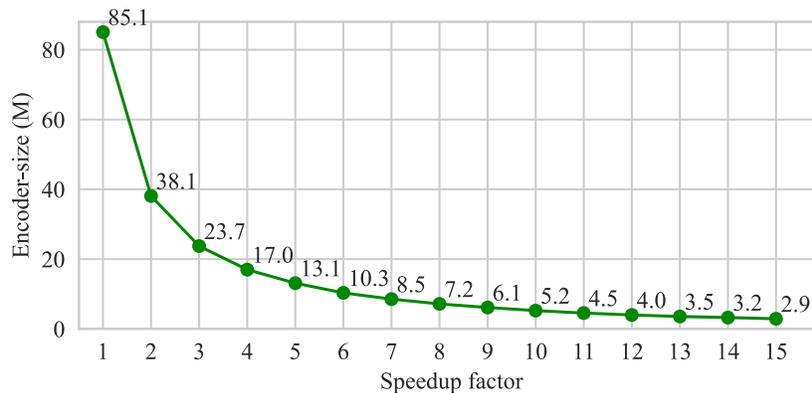


Figure 7: Encoder size vs. speedup factor of ZipLM pruned BERT_{base} models, averaged over all considered datasets in Section 4.

642 Additionally, in Figures 8, 9, 10, 11 we visualize the number of remaining heads and intermediate
 643 size across all Transformer layers and various speedup targets on a subset of GLUE datasets.

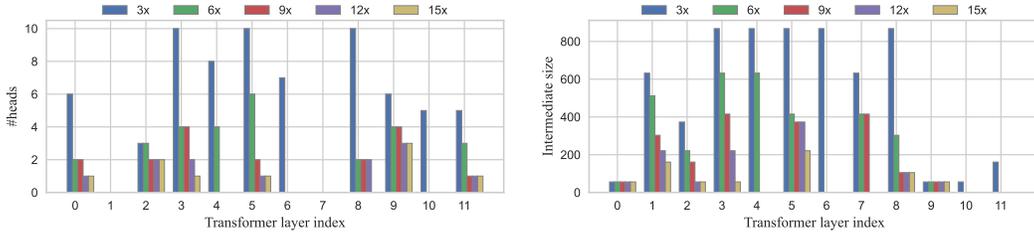


Figure 8: Remaining number of attention heads and intermediate size across all layers of the ZipLM compressed BERT_{base} model at various speedups and MNLi dataset.

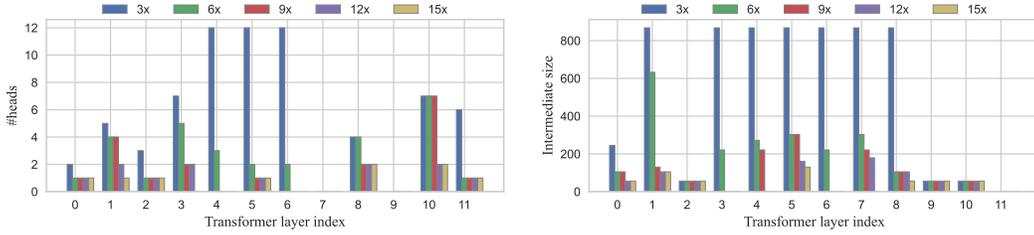


Figure 9: Remaining number of attention heads and intermediate size across all layers of the ZipLM compressed BERT_{base} model at various speedups and QNLI dataset.

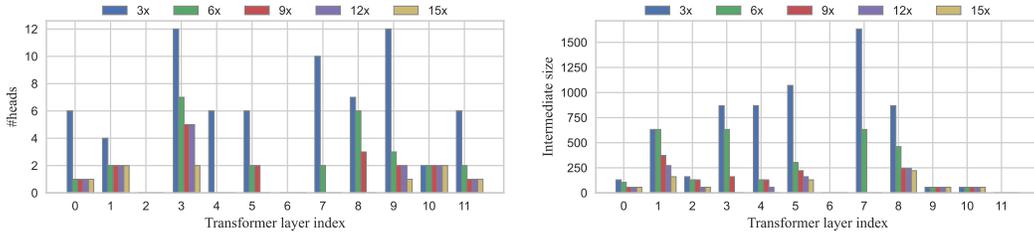


Figure 10: Remaining number of attention heads and intermediate size across all layers of the ZipLM compressed BERT_{base} model at various speedups and QQP dataset.

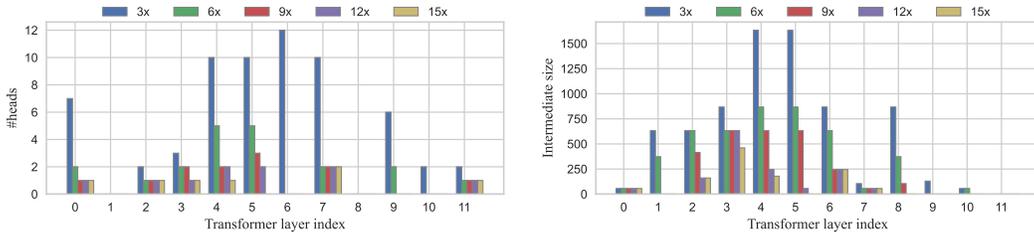


Figure 11: Remaining number of attention heads and intermediate size across all layers of the ZipLM compressed BERT_{base} model at various speedups and SST-2 dataset.

644 **F Experiments - Additional Results**

645 In Table 6 we report accuracy and model size of ZipLM pruned models visualized in Section 4 in
 646 Figures 2 and 3.

647 **G Hyper-parameters for Reproducibility**

648 To facilitate reproducibility, we conduct experiments in the open-source Transformers library [54],
 649 and use publicly available datasets [27]. We plan to open-source our entire framework which supports

Table 6: Accuracy and model size for ZipLM pruned models in Section 4

| Speedup | BERT _{base} | | | | | | | | | | BERT _{large} | |
|---------|----------------------|------------------|------|------------------|------|------------------|------|------------------|---------|------------------|-----------------------|------------------|
| | QNLI | | MNLI | | SST2 | | QQP | | SQuADv1 | | SQuADv1 | |
| | Acc. | Encoder size (M) | Acc. | Encoder size (M) | Acc. | Encoder size (M) | Acc. | Encoder size (M) | F1 | Encoder size (M) | F1 | Encoder size (M) |
| 2x | 91.4 | 38.0 | 84.8 | 38.5 | 93.4 | 38.7 | 91.3 | 37.8 | 89.1 | 37.3 | 91.6 | 141.1 |
| 3x | 91.1 | 23.8 | 84.8 | 23.5 | 93.4 | 24.1 | 91.3 | 23.8 | 88.6 | 23.4 | 91.4 | 88.3 |
| 4x | 90.9 | 16.9 | 84.0 | 17.1 | 93.0 | 17.2 | 91.3 | 16.8 | 88.0 | 16.8 | 91.1 | 63.1 |
| 5x | 90.8 | 12.5 | 84.0 | 13.5 | 93.0 | 13.5 | 91.1 | 13.0 | 87.5 | 13.0 | 90.8 | 48.5 |
| 6x | 90.4 | 9.5 | 83.5 | 10.5 | 93.0 | 11.0 | 91.1 | 10.2 | 86.7 | 10.4 | 90.2 | 39.1 |
| 7x | 89.8 | 8.0 | 83.2 | 8.8 | 93.0 | 9.0 | 90.9 | 8.1 | 86.1 | 8.7 | 89.9 | 32.7 |
| 8x | 89.2 | 6.4 | 83.1 | 7.5 | 93.0 | 7.6 | 90.9 | 6.8 | 85.7 | 7.5 | 89.7 | 27.5 |
| 9x | 89.1 | 5.7 | 82.8 | 6.3 | 93.0 | 6.7 | 90.8 | 5.8 | 85.3 | 6.2 | 89.3 | 23.8 |
| 10x | 88.6 | 4.9 | 82.7 | 5.4 | 93.0 | 5.7 | 90.8 | 4.9 | 84.2 | 5.3 | 89.1 | 20.9 |
| 11x | 88.6 | 4.0 | 82.5 | 4.7 | 92.7 | 4.9 | 90.7 | 4.3 | 83.8 | 4.7 | 88.8 | 18.4 |
| 12x | 87.8 | 3.6 | 81.7 | 4.1 | 91.7 | 4.2 | 90.6 | 4.1 | 83.2 | 4.0 | 88.4 | 16.4 |
| 13x | 87.6 | 3.2 | 81.3 | 3.5 | 91.7 | 3.8 | 90.6 | 3.7 | 82.5 | 3.4 | 87.9 | 14.9 |
| 14x | 87.4 | 2.8 | 81.2 | 3.3 | 91.7 | 3.6 | 90.3 | 3.3 | 81.7 | 3.2 | 87.7 | 13.7 |
| 15x | 87.2 | 2.6 | 80.8 | 2.9 | 90.7 | 3.2 | 90.3 | 2.9 | 81.4 | 2.9 | 87.6 | 12.5 |

Table 7: Hyper-parameters used for gradual ZipLM runs in Section 4

| | BERT _{base} | BERT _{large} | GPT2 |
|--------------------------------------|-------------------------|-----------------------|-------------------|
| batch-size | 16 SQuADv1 32 GLUE | | 128 |
| max-seq-length | 384 SQuADv1 128 GLUE | | 1024 |
| finetune before pruning | 3 epochs | | 50k steps |
| finetune in-between pruning steps | 8 epochs | 10 epochs | 2 epochs |
| LR schedule in-between pruning steps | linear decay | | linear decay |
| initial LR | 8e-5 | 5e-5 | 1e-3 |
| #calibration samples | 2048 | | 512 |
| speedup-targets | {2, 3, 4, 5, ..., 15}x | | {1.5, 2, 2.5, 3}x |
| knowledge distillation λ_1 | 0 | | 1.0 |
| knowledge distillation λ_2 | 1.0 SQuADv1 0.5 GLUE | | 0 |
| knowledge distillation λ_3 | 0.0 SQuADv1 0.5 GLUE | | 0 |
| weight-decay | 0.03 | 0.05 | 0 |

650 one-shot and gradual structured pruning via SparseML [24], making it very easy to experiment with
651 other models and datasets. In addition to our code, we plan to open-source all of our compressed
652 models via the popular HuggingFace Hub. In Table 7 we report hyper-parameters used to produce
653 our ZipLM pruned models in Section 4. Because of the excessive memory overhead, we don't make
654 use of any kind of knowledge distillation when pruning the GPT2 model. Following insights from
655 DistilGPT2, we hypothesize that this can further improve our results. We follow [21] and disable
656 dropout regularization while pre-training ZipGPT2 models at OpenWebTextCorpus dataset.

657 H Broader Impact and Limitations

658 Our results contribute to the line of work on efficient language models. Thus, it should help reduce
659 the energy and monetary cost of inference over such models, and allow them to be used without

660 access to powerful hardware. While this is a mainly positive outcome, it also reduces the cost of
661 employing these models for detrimental purposes, such as spam generation. Thus, this significant
662 cost reduction for inference should also be seen as further motivation for methods to ensure safe
663 usage of these models, such as watermarking or alignment.

664 As any academic study, our work is not without its limitations. All of our benchmarks are focused on
665 English-language datasets and therefore our results do not provide insights into compression effects
666 for low-data languages. Unfortunately, this limitation is inherent to all of the existing works on
667 compression due to the lack of standardized benchmarks. Given that our structured pruning approach
668 relies on a small sample of calibration data to perform pruning decisions, we hypothesize that our
669 approach should be able to provide satisfying results in the low-data setup as well. At the moment we
670 do not have data to support these claims, but we see it as an opportunity for future work.