

1 We thank the reviewers for their in-depth reviews, and will use them to make the final version as clear as possible. Our
2 code, which was included in our supplementary material submission, will be made publicly available for reproducibility.
3 We will correct the missing notations and typos, we apologize for these oversights. Thank you for suggesting other
4 related work: we will expand our discussion with these.

5 Re: discussion/comparison: We have tried to provide both experimental and analytical discussion about word-level
6 topic modeling in conjunction with auto-regressive models without marginalizing out the topics. Our theorem shows
7 that under specific conditions our model reduces to a recurrent LM and our experimental results show that our topic
8 coherency outperforms variational LDA and Mallet LDA, covering both topic modeling and auto-regressive aspects.

9 We have reported perplexity per word consistent with prior work; we will clarify the equation. We used a fixed max
10 length of 90 words per doc, with one layer and 200 hidden units in the recurrent structure. For all the experiments the
11 Dirichlet prior distribution parameter is 0.5. We will report these in the experiments section. As “RNN” can be used to
12 describe a number of different specific recurrent cells, we will add experimental results where we specifically compare
13 to a traditional RNN cell, an LSTM cell, and a GRU cell.

14 **[R1]:** We will reorganize the equations to make the analytic comparisons between our approach and previous work
15 more clear. Thank you for this suggestion. In our model definition, we are not sampling the discrete latent variable
16 from the posterior variational distribution and the discrete expectations are calculated in closed form. Without resorting
17 to the reparametrization trick, in our theorem (page 6 on top), we have shown that our model reduces to a simple RNN
18 just by assuming all the tokens are non-thematic words. We should note that our proof for this relies on the closed form
19 calculation and not sampling. We aim to design a language model that can preserve this word-level topic information.
20 The remarkable difference between our model and the TopicRNN paper is preserving this topic information. We have
21 imposed the simple uniform probability assumption just for the non-thematic words. This mechanism not only helps
22 the topic model distinguish between the thematic and non-thematic words, but also it leads to stable training, since in
23 the topic model part the gradients for the non-thematic words are zero and just the RNN part would be updated.

24 **[R2]:** We have included both variational LDA and Mallet LDA results for the switchP part to show that VRTM can also
25 be considered as a topic model in terms of topic coherency, we will add the results for LDA+LSTM in the final version.
26 However, we note that in LDA+LSTM an LDA model is trained and then used in a recurrent LM; this is in contrast to
27 our approach which allows both the topic & language model to be learned and updated jointly. We agree that examining
28 the generative capabilities of these types of recurrent models is important, but we believe that doing rigorously and
29 comprehensively needs its own study and is beyond the scope of this particular work. We provide output sentences as
30 examples so readers may make their own qualitative assessments on the strengths and limitations of our methods.

31 **[R3]:** We reconsidered core decisions made by TopicRNN, such as not marginalizing out topics and the doc prior. The
32 Dirichlet can *easily* be parameterized to generate sparse samples just by tuning the prior distribution parameters.

33 We will update our discussion to include textTVec. We note that its topic modeling component h^{DN} does not
34 marginalize over the topics in a traditional sense, as it passes topic parameters through an activation function, effectively
35 compressing the topic signal prior to any word generation. While not precisely the same as previous efforts, this is in
36 contrast to what we advocate, which is specifically conditioning a word’s generation on a particular topic (and as topics
37 aren’t observed, marginalizing after any activation function).

38 Re: implementation/results: Although we have employed 400 dimensional input embeddings, our word embeddings
39 are learned from scratch, which do not have the massive pretraining of other methods. Our early experiments showed
40 that our model and results were consistent even with other sizes like 300; we can include these numbers in the camera
41 ready. Our masked embedding is obtained by both masking the non-thematic words and multiplying the thematic word
42 embedding to their frequency. Since the topic models are mostly trained based on the frequency of (thematic) words our
43 aim is to define the embeddings in a way that (i) the topic model part neglects the non-thematic words, so the coefficient
44 for these words is zero. (ii) the thematic words with higher frequencies are emphasized. By adding this coefficient the
45 gradients flow will increase for the thematic words. We will clarify the notation. We have reported SwitchP rather than
46 other topic modeling metrics is that it makes the very intuitive yet simple assumption that “good” topics will exhibit a
47 type of inertia. We will update the paper with this motivation and add additional information to example output.

48 **[R4]:** We will add a diagram to clarify the encoding/decoding process. We view using the simpler mean field
49 approximation as a benefit and are excited to explore more expressive approximations in future work. Although we have
50 used the plain Bernoulli random variable in the generative process but in the joint probability definition the parameters
51 of this Bernoulli are learned in an auto-regressive manner where the information from the previous tokens play the role
52 to draw a thematic or non-thematic word. “Neural Variational Inference” is from [25]. We will clarify this point &
53 apologize for any confusion. Re: $q(z_t)$ and $p(z_t)$: For both p and q we have assumed the non-thematic words have the
54 uniform distribution. This construction motivates the topic model part to distinguish between thematic and non-thematic
55 words, and is used in the proof of the theorem.