

## 342 A Appendix

### 343 A.1 Agent Description

344 Our agent takes in ATARI frames in RGB format ( $210 \times 160 \times 3$ ) and processes them through a  
345 two layer ConvNet and a ConvLSTM, which produces an output of size  $27 \times 20 \times 128$ . We split  
346 this output along the channel dimension to produce keys of size  $27 \times 20 \times 8$  and values of size  
347  $27 \times 20 \times 120$ . To each of these we append the same spatial basis of size  $27 \times 20 \times 64$ . The query is  
348 produced by feeding the state of the LSTM after the previous time step to a three layer MLP. The final  
349 layer produces a vector with length 288, which is reshaped into a matrix of size  $4 \times 72$  to represent  
350 the different attention heads. The queries, keys and values are processed by the mechanism described  
351 in Section 2 and produces answers. The queries, answers, previous action, and previous reward are  
352 fed into an answer processor, which is a 2 layer MLP. The output of the answer processor is the input  
353 to the policy core, which is an LSTM. The output of the policy core is processed through a one layer  
354 MLP and the output of that is processed by two different one layer MLPs to produce the policy logits  
355 and values estimate. All the sizes are summarized in Table 2.

Module	Type	Sizes
vision core kernel size: $4 \times 4$ , stride: 2, feature layers: 64	CNN	[1]kernel size: $8 \times 8$ , stride: 4, channels: 32
vision RNN	ConvLSTM	kernel size: $3 \times 3$ , channels: 128
answer processor hidden units: 256	MLP	[1]hidden units: 512
policy core	LSTM	hidden units: 256
query network hidden units: 128 hidden units: $72 \times 4$	MLP	[1]hidden units: 256
policy & value output	MLP	hidden units: 128

Table 2: The network sizes used in the attention agent

356 We use an RMSProp optimizer with  $\epsilon = 0.01$ , momentum of 0, and decay of 0.99. The learning rate  
357 is  $2e - 4$ . We use a VTRACE loss with a discount of 0.99 and an entropy cost of 0.01 (described  
358 in [33]); we unroll for 50 timesteps and batch 32 trajectories on the learner. We clip rewards to  
359 be in the range  $[-1, 1]$ , and clip gradients to be in the range  $[-1280, 1280]$ . Since the framerate of  
360 ATARI is high, we send the selected action to the environment 4 times without passing those frames  
361 to the agent in order to speedup learning. Parameters were chosen by performing a hyperparameter  
362 sweep over 6 levels (battle zone, boxing, enduro, ms pacman, seaquest, star gunner) and choosing the  
363 hyperparameter setting that performed the best on the most levels.

### 364 A.2 Multi-Level Agents

365 We also train an agent on all ATARI levels simultaneously. These agents have distinct actors acting  
366 on different levels all feeding trajectories to the same learner. Following [33], we train the agent  
367 using population based training ([34]) with a population size of 16, where we evolve the learning  
368 rate, entropy cost, RMSProp  $\epsilon$ , and gradient clipping threshold. We initialize the values to those used  
369 for the single level experts, and let the agent train for  $2e7$  frames before beginning evolution. We use  
370 the mean capped human normalized score described in [33] to evaluate the relative fitness of each  
371 parameter set.

### 372 A.3 Agent Performance

373 Figure 8 shows the training curves for the experts on 55 ATARI levels (the curves for Freeway  
374 and Venture are omitted since they are both constantly 0 for all agents). Table 1 shows the final  
375 human-normalized score achieved on each game by each agent in both the expert and multi-agent  
376 regime. As expected, the multi-level agent achieves lower scores on almost all levels than the experts.

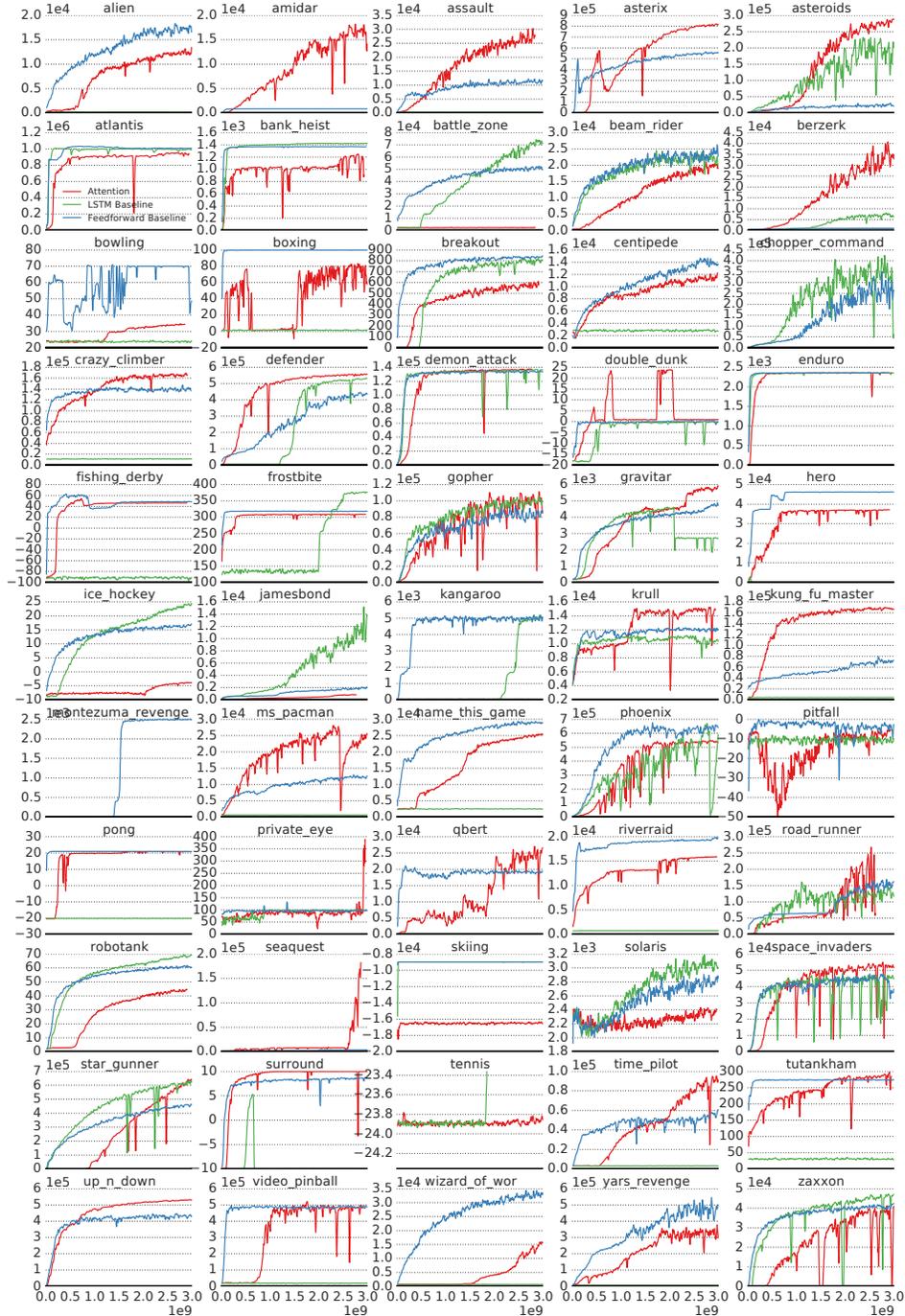


Figure 8: Performance of individual experts on selected ATARI games. Freeway and Venture are omitted; no tested agent achieved a non-zero return on either game

#### 377 A.4 Top-Down versus Bottom-Up

378 Figure 9 shows the training curves for the Fixed Query Agent and the L2 Norm Keys agent. These  
 379 agents are all trained on single levels for  $2e9$  frames. We see that, in 6 of the 7 tested games, the  
 380 agents without top-down attention perform significantly worse than the agent with top-down attention.  
 381 Table 4 shows the final scores achieved by each agent on all 7 levels.

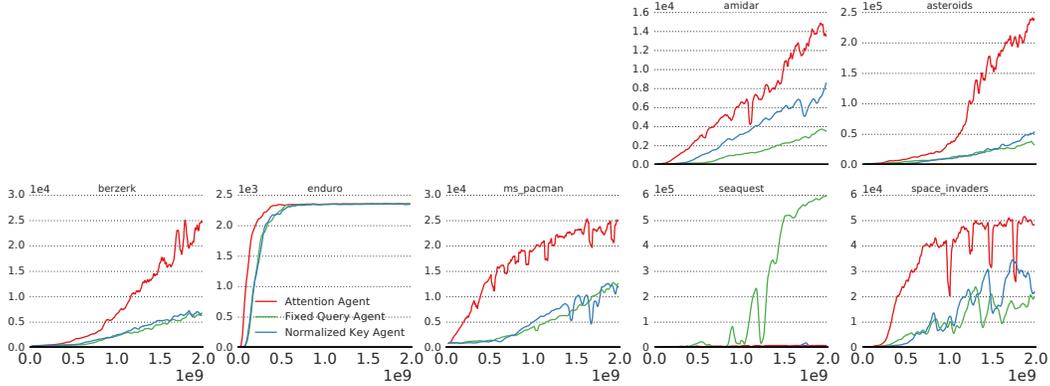


Figure 9: The role of top-down influence: Performance of individual experts on selected ATARI games.

### 382 A.5 What-Where Analysis

383 To form the what-where maps shown in Section 4.6, we compute the relative contribution  $C_{i,j}$  for a  
 384 query  $q$  from the content and spatial parts at each location is defined to be:

$$\text{what}_{i,j} = \sum_{h=1}^{C_k} q_h K_{i,j,h} \quad (8)$$

$$\text{where}_{i,j} = \sum_{h=1}^{C_s} q_{h+C_k} S_{i,j,h} \quad (9)$$

$$D_{i,j} = \begin{cases} -\log(10) & \text{what}_{i,j} - \text{where}_{i,j} < -\log(10) \\ \text{what}_{i,j} - \text{where}_{i,j} & |\text{what}_{i,j} - \text{where}_{i,j}| \leq \log(10) \\ \log(10) & \text{what}_{i,j} - \text{where}_{i,j} > \log(10) \end{cases} \quad (10)$$

$$C_{i,j} = D_{i,j} A_{i,j} \quad (11)$$

385 where we interpolate between red, white and blue according to the values of  $C$ . The intuition is that,  
 386 at blue (red) points the contribution from the spatial (content) portion to the total weights would be  
 387 more than 10 times greater than the other portion. We truncate at  $\pm 10$  because there are often very  
 388 large differences in the logits, but after the softmax huge differences become irrelevant. We weight by  
 389 the overall attention weight to focus the map only on channels that actually contribute to the overall  
 390 weight map.

### 391 A.6 Validity of attention maps

392 In order to demonstrate that the agent is mostly using the information contained in the regions of  
 393 high attention, we re-run the trained agent with the attention modified to suppress areas with small  
 394 attention weights. For this test, we substitute the attention weights  $A_{i,h}^n$  in Equation 5 for

$$\tilde{A}_{i,j}^n(t) = \begin{cases} A_{i,j}^n & A_{i,j}^n \geq t * \max_{i,j} A_{i,j}^n \\ 0 & \text{else} \end{cases} \quad (12)$$

$$A_{i,j}^n(t) = \frac{\tilde{A}_{i,j}^n(t)}{\sum_{i,j} \tilde{A}_{i,j}^n(t)} \quad (13)$$

395 Note that  $A_{i,j}^n(0) = A_{i,j}^n$ . We run this modified agent on four games — Breakout, Ms. Pacman,  
 396 Seaquest and Space Invaders — and find that the performance of the agent does not degrade for  
 397  $t \leq 0.1$ . This indicates that the agent is mostly using the information in the regions of high attention  
 398 and not relying on the softness of the attention to collect information in the tail of the distribution.  
 399 This gives us confidence that we can rely on the visual inspection of the agent’s attention map to  
 400 indicate what information is being used.

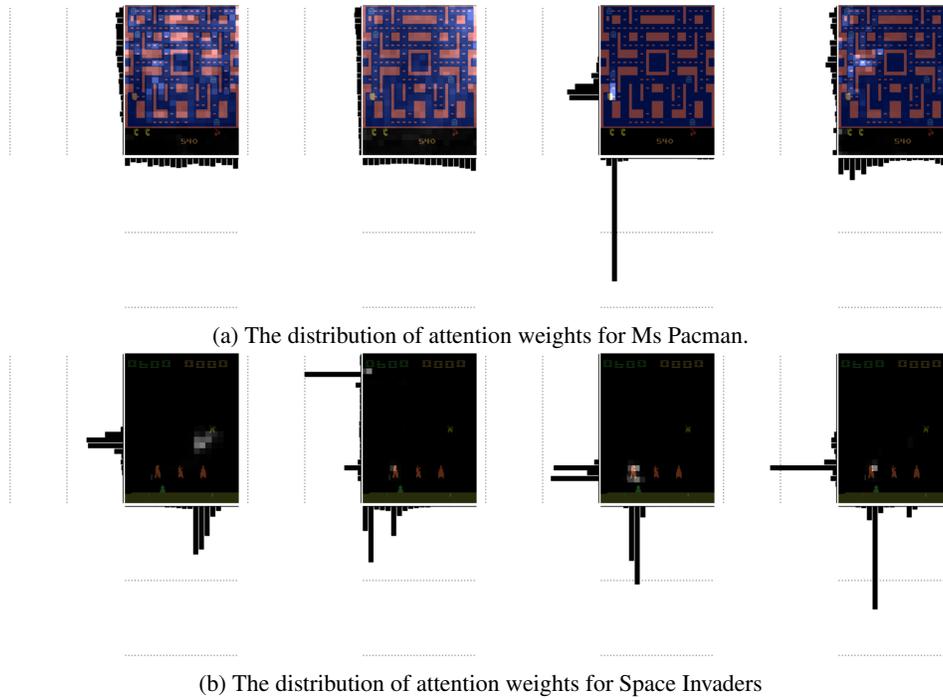


Figure 10: The distribution of attention weights on each head for a Ms Pacman and a Space Invaders frame. The two bar plots show the sum of the weights along the x and y axis (the range of each plot is  $[0, 1]$ ).

#### 401 A.7 Attention Weights Distribution

402 Since the sum that forms the attention answers (Equation 5) runs over all space, the peakiness of  
 403 the attention weights will have a direct impact on how local the information received by the agent  
 404 is. Figure 10 shows the distribution of attention weights for a single agent position in Ms Pacman  
 405 and Space Invaders on all four heads. On both games we observe that some of the heads are highly  
 406 peaked, while others are more diffuse. This indicates that the agent is able to ask very local queries  
 407 as well as more general queries. It is worth noting that, since the sum preserves the channel structure,  
 408 it is possible to avoid washing out information even with a general query by distributing information  
 409 across different channels.

410 In section A.6, we ran an agent with a hard cutoff in the attention weights on several games and found  
 411 that the overall performance on those games is not affected for threshold values  $t \leq 0.1$ . Table 5  
 412 shows the ratio of the score achieved by an agent at  $t = 0.1$  to that achieved at  $t = 0.0$ . We see that  
 413 the agents are able to achieve broadly similar scores across a range of games.

Level	Experts			Multi-level	
	Feedforward	LSTM	Attention	Feedforward	Attention
alien	271.8%	0.3%	206.9%	26.8%	27.1%
amidar	50.9%	2.7%	1138.9%	12.5%	15.9%
assault	2505.8%	26.2%	6571.9%	80.3%	69.5%
asterix	6827.5%	0.7%	9922.0%	14.2%	29.5%
asteroids	75.3%	545.8%	626.3%	1.6%	2.7%
atlantis	6320.7%	6161.6%	5820.0%	194.8%	136.4%
bank_heist	184.0%	191.8%	168.5%	4.2%	1.7%
battle_zone	151.9%	216.2%	2.1%	5.6%	2.6%
beam_rider	172.3%	152.1%	132.7%	1.8%	1.4%
berzerk	39.8%	353.6%	1844.3%	10.4%	12.1%
bowling	35.1%	1.7%	9.0%	3.8%	3.1%
boxing	832.5%	25.2%	743.6%	677.1%	32.5%
breakout	2963.5%	2917.4%	2284.2%	15.0%	29.2%
centipede	136.5%	12.7%	108.3%	43.1%	35.4%
chopper_command	5885.2%	8622.1%	12.3%	20.8%	5.3%
crazy_climber	560.7%	5.6%	643.9%	374.3%	398.0%
defender	2835.5%	3361.2%	3523.9%	98.9%	76.9%
demon_attack	7406.6%	7526.0%	7563.3%	47.4%	112.5%
double_dunk	865.2%	850.8%	1934.0%	108.4%	171.6%
enduro	275.0%	274.5%	275.0%	127.7%	51.7%
fishing_derby	293.9%	8.6%	280.8%	132.3%	10.0%
freeway	0.1%	0.1%	0.1%	75.9%	12.9%
frostbite	6.0%	7.3%	5.7%	35.1%	4.7%
gopher	4588.1%	5124.6%	5280.3%	36.4%	141.6%
gravitar	151.8%	144.6%	184.6%	3.8%	3.1%
hero	151.9%	6.7%	121.7%	43.2%	22.2%
ice_hockey	241.0%	302.2%	64.1%	37.7%	35.6%
jamesbond	845.9%	5819.2%	319.7%	31.7%	13.0%
kangaroo	178.9%	174.1%	0.6%	21.7%	8.5%
krull	1031.8%	921.0%	1309.6%	547.4%	883.3%
kung_fu_master	363.7%	20.6%	763.9%	73.3%	118.1%
montezuma_revenge	52.6%	0.1%	0.1%	0.0%	0.1%
ms_pacman	195.9%	6.4%	442.8%	31.6%	26.4%
name_this_game	482.3%	7.5%	413.1%	74.0%	53.9%
phoenix	10705.9%	10423.9%	8560.2%	47.5%	63.3%
pitfall	3.4%	3.4%	3.4%	3.4%	3.4%
pong	118.1%	2.0%	118.1%	55.3%	2.1%
private_eye	0.2%	0.2%	1.0%	0.5%	2.0%
qbert	160.6%	1.2%	207.7%	4.7%	5.7%
riverraid	118.6%	-3.3%	93.4%	33.8%	30.9%
road_runner	2441.2%	2336.6%	3570.9%	409.7%	284.8%
robotank	625.3%	700.3%	450.3%	25.6%	32.1%
seaquest	8.5%	0.6%	546.5%	1.9%	1.4%
skiing	63.6%	63.6%	8.7%	63.6%	63.4%
solaris	15.7%	19.1%	13.0%	12.5%	12.8%
space_invaders	3230.4%	3412.5%	3668.0%	16.8%	30.4%
star_gunner	4972.8%	6707.6%	6838.6%	8.4%	10.4%
surround	114.2%	93.0%	121.9%	4.8%	0.7%
tennis	307.4%	153.5%	0.7%	49.8%	45.4%
time_pilot	3511.7%	16.7%	5708.4%	6.8%	17.0%
tutankham	169.3%	19.3%	187.3%	104.1%	76.9%
up_n_down	4035.0%	12.3%	4771.5%	347.8%	59.1%
venture	0.0%	0.0%	0.0%	8.9%	3.1%
video_pinball	2853.2%	139.0%	3001.8%	153.3%	188.7%
wizard_of_wor	842.5%	7.6%	401.1%	16.6%	8.5%
yars_revenge	1100.1%	12.7%	867.0%	47.8%	32.2%
zaxxon	472.2%	521.1%	488.6%	25.5%	2.8%

Table 3: The human-normalized score of agents on all ATARI levels.

level name	Fixed Query Agent	L2 Norm Keys Agent	Top-Down Attention Agent
amidar	225.7%	547.5%	<b>903.6%</b>
asteroids	88.0%	126.4%	<b>541.1%</b>
berzerk	285.3%	334.1%	<b>1153.9%</b>
enduro	274.8%	274.5%	<b>274.7%</b>
ms_pacman	198.4%	199.6%	<b>414.3%</b>
seaquest	<b>1435.9%</b>	49.4%	28.2%
space_invaders	1798.1%	2395.2%	<b>3512.8%</b>

Table 4: The scores of the attention agent compared to the two bottom-up experiments described in the text.

Task	Relative Score
Breakout	88% $\pm$ 11%
Ms. Pacman	89% $\pm$ 13%
Seaquest	116% $\pm$ 29%
Space Invaders	98% $\pm$ 12%

Table 5: The score of an agent run with hard attention (equation 13) with  $t = 0.1$  as a percentage of the score with  $t = 0$ . All scores are calculated by running 15 times at each value of  $t$ . Uncertainties are the statistical uncertainties of the ratio of the mean scores.