

1 We thank all reviewers for the very important comments and suggestions for improving our paper.

2 The control problems in our setting may seem more basic than what is typically considered from a learning perspective.
3 However, these are exactly the challenge problems that have occupied the control theory community for many decades
4 and are far from being satisfactorily solved. For example: Tesla autopilot disclaims responsibility for turning safely
5 in high speed; aircraft control needs to maintain very small angles-of-attack to avoid dangerous stalling; and in the
6 recent DARPA challenge on robotics, all teams failed to robustly balance humanoid robots. These are direct results of
7 failing to ensure large enough region of attraction when controlling nonlinear systems (at the scale of examples in our
8 paper, with known dynamics). It is often claimed that these core nonlinear control problems can not benefit from recent
9 progress in learning-based approaches, because of the need for precise global optimization. We counter this belief by
10 showing that deep learning can deliver significantly better solutions than known methods, with provable guarantee. We
11 are excited to see the power of neural networks in precisely capturing the nonconvex landscapes, and that constraint
12 solving algorithms can rigorously verify global properties of these networks at the scale relevant to practical control.

13 Our approach is novel not just in the use of neural networks, but also in designing the combination of non-convex
14 optimization methods to deliver precise global search required by the Lyapunov methods. Reviewer 2 questions the
15 difference with [21]. Please note that [21] only estimates the ROA for a *given controller*, which is significantly simpler
16 than our goal of *designing* controllers. Despite the easier goal, [21] only approximately models the ROA, treating it
17 as a classification problem. There was no attempt in ensuring global properties of the networks. Moreover, [21] only
18 works on the well-studied inverted pendulum example (on which we have reported a similarly-sized ROA, but with
19 provable guarantee), and does not work on the other more complex examples in our paper. For *designing* controllers,
20 existing methods (LQR and SOS) always rely on reduction to convex problems. For instance, SOS methods avoid
21 full-scale global optimization by using polynomials that are positive-definite by design, at the cost of severely limiting
22 the landscapes that can be captured. Our approach is the first that shows feasibility of using non-convex optimization
23 methods and generic function approximators to rigorously satisfy the Lyapunov conditions. The control designs are
24 strictly better than known solutions, for being more robust without using more complex control policy classes.

25 We agree with all reviewers that the numerical details of the experiments can be moved to the Appendix. We included
26 them because the use of neural networks is very nonstandard in this setting, and we wish to give complete details of
27 the learned results for easy validation of their correctness. We will expand explanations of the background and move
28 algorithmic descriptions and more experiment statistics from the Appendix to the main sections.

29 **Reviewer 1: Will Lyapunov risk as cost function yield robust feedback controllers even in nonsmooth cases?**
30 Very likely. In ongoing work we see benefits of minimizing Lyapunov risk in actor-critic RL, when the Lyapunov risk
31 and policy gradients do not misguide each other. **Do ReLU networks work in practice?** Because of non-smoothness
32 of ReLU, direct encoding does not work for the Lie derivatives. Approximation is possible, but we need to appropriately
33 bound the encoding error. Note that the networks are small, and the choice of nonlinear unit does not affect training
34 speed much. **Have you tried more complex systems with learned dynamics model or with uncertainty?** We
35 can extend the examples with bounded noise and compare with LQ-Gaussian methods, although rigorous claims
36 require extending the theory to systems with differential inclusions. **Please provide learning curves for the various**
37 **experiments. How often does it fail?** Failure happens when verification takes too long. For instance, we can extend
38 the humanoid model to more links; then the Lyapunov risk can still be minimized well, but the constraint solving time
39 can increase exponentially, reflecting the inherent complexity of the problem. **Is there a connection to Contraction**
40 **Theory?** Definitely. We see exciting possibilities for contraction theory based on neural network Lyapunov functions.

41 **Reviewer 2: Is the contribution simply in using a NN instead of other function approximators?** No. See Line
42 13 above. **Explain better the non-linear constraint problem and delta-complete algorithms.** We will add more
43 background. You could consider the constraints as defining a multivariate nonlinear cost function, and the constraint
44 solving problem is about finding its global minimum, which is NP-hard. **Clearer presentation of the experimental**
45 **setting.** Yes, we will move more details to the main text. **State more clearly: known dynamics and small NN.** Yes,
46 we will add to Line 19 in the introduction. **Reformulate bold claims.** Yes, we will remove speculations and focus
47 on technical claims. **The final region of attraction does not cover the whole state space. This means that you**
48 **cannot guarantee that the Lyapunov stability condition holds everywhere.** Incorrect. The Lyapunov conditions are
49 guaranteed to hold everywhere within the entire red circle in the graphs (it is why the computation is hard). Falsification
50 terminates for that entire region. Within this region, the ROA also needs to be fully contained in some level set of the
51 Lyapunov function (Def 7). Thus, the ROA is always a proper subset of the fully-validated larger region.

52 **Reviewer 3: Commentary about how that cost differs from other approaches would be more immediately useful**
53 **to the reader.** Yes, we will move Table 1 from the Appendix to the main text and add more columns. **I would also**
54 **have loved to see validation on a real physical system with real-world complexity.** Yes, we can add evaluation of
55 the control designs in physical wheeled robots. **More discussion of the limitation of the approach.** Yes, we will
56 rewrite the introduction to improve clarity, by further elaborating on some points mentioned in this rebuttal.